



The
DISCIPLINARY BOARD
of the Supreme Court of Pennsylvania

Attorney Registration
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2024-2025 Financial Data Amendment Form

Attorney Name: _____ **Attorney ID#:** _____

Check One

- ADD** all account information listed below to what is currently on file.
- REPLACE** all account information currently on file with that which is listed below.
- CORRECT** an error in account information currently on file. (Provide an explanation of the correction.)
- REMOVE** all account information listed below.

Pursuant to Pa.R.D.E. 221(q), during the period of May 1, 2023 to the date of filing this form, the attorney shall identify:

- (1) all accounts in which the attorney held funds of a client or a third person subject to Pa.R.P.C. 1.15;
Note: Rule 1.15 Funds are funds which the lawyer receives from a client or third person in connection with the client-lawyer relationship, or as an escrow agent, settlement agent or representative payee, or as a Fiduciary, or receives as an agent, having been designated as such by a client or having been so selected as a result of a client-lawyer relationship or the lawyer's status as such. For the provisions regarding funds received in connection with certain nonlegal services, see Pa.R.D.E. 221(r)(1)(iv)-(vi).
- (2) every account not reported under paragraph (1) that held funds of a client or a third person (whether or not subject to Pa.R.P.C. 1.15) over which the attorney had sole or shared signature authority or authorization to transfer funds to or from the account; and
Note: "Funds of a third person" shall not include funds held in: an attorney's personal account held jointly; or a custodial account for a minor or dependent relative unless the source of any account funds is other than the attorney and his or her spouse or spousal equivalent.
- (3) every business operating account maintained or utilized by the attorney in the practice of law.

All fields are required for each entry.

Bank/Brokerage Name (Full Name)	Account Number (Full Number)	Account Holds Client/Third Party Funds ? 1. Yes, PA Clients 2. Yes, Out of State Clients 3. No	Account Location (State Only)	Account Type (Choose One) IOLTA IOLTA Exempt Interest For Clients Other Authorized Accounts Business/Operating

Signature: _____

Date: _____

Pennsylvania Attorney Registration Financial Data Reference Guide

Account Type	Description
IOLTA	An income producing Trust Account holding funds received in connection with a client-lawyer relationship which are nominal in amount or are reasonably expected to be held for a short period of time. See Pa R.P.C. 1.15(a)(5), (9)
IOLTA Exempt	An IOLTA Exempt account designation can only be obtained from the IOLTA Board pursuant to IOLTA regulations. Attorneys claiming this status for an account should be able to provide a copy of the IOLTA exemption documentation, upon request. See 204 Pa. Code § 81.109 and Pa R.P.C. 1.15(n)
Interest for Clients	Such accounts are income producing Trust Accounts which hold funds received in connection with the client-lawyer relationship, the interest of which is paid to the client or a third person. Such accounts can contain the funds of multiple clients if proper sub-accounting is maintained. Generally, the funds held are not nominal in amount and are not reasonably expected to be held for a short period of time. See Pa R.P.C. 1.15(a)(7)
Other Authorized Accounts	<ol style="list-style-type: none"> 1. An account or investment vehicle holding funds received in connection with a client-lawyer relationship which are not nominal in amount and are not expected to be held for a short period of time and which are not fiduciary funds. Such account or investment vehicle must be specifically agreed upon by the lawyer and client or third person which owns the funds. See Pa R.P.C. 1.15(k) 2. An account or investment vehicle holding funds in connection with an attorney's duties as a fiduciary as defined by Pa R.P.C. 1.15(a)(2)
Business/ Operating	An account used to maintain and operate business related functions in the practice of law. Such accounts may not hold unearned client funds. See Pa R.P.C. 1.15(b), (j)

Note: Accounts required to be reported shall not include: 1) an attorney's personal account held jointly; or 2) a custodial account for a minor or dependent relative unless the source of any account funds is other than the attorney and his or her spouse or spousal equivalent.

How to report accounts that hold **out-of-state client/third part funds**:

In either the "Out-of-State IOLTA or Out-of-State IOLTA Exempt" or the "Interest for Clients, Other Authorized Accounts, or Business/Operating" sections of the registration form, select the bank name or enter a new bank name by selecting "Other" from the dropdown menu and then typing the full bank name. For each bank entered, all financial data fields are required. Be sure to complete the column titled "Holds Client/Third Party Funds" appropriately.

How to amend a registration form if a required account was incorrectly reported or omitted:

Submit a completed Financial Data Amendment Form to the Attorney Registration Office.